

Ministry of Municipal Affairs and Housing

Financial Statement - Auditor's Report

Form 4

Municipal Elections Act, 1996 (Section 78) EB 0 3 2015

Instructions:

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses beyond the nomination fee must complete Boxes C, D, Schedule 1, and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or his or her spouse) shall be paid immediately over to the clerk who was responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination)	to 2014/10/28
Primary filing reflecting finances to December 31 (or 45 th day after vo	oting day in a by-election)
Supplementary filing including finances after December 31 (or 45 th d	ay after voting day in a by-election)
Box A: Name of Candidate and Office	医多种动脉的 医隐含性神经病 医多种氏管 医骨髓炎
Candidate's name as shown on the ballot Last Name CAROENETO	Given Name(s) JAMES JOSEPIA
Name of office for which the candidate sought election	Ward name or no. (if any)
Name of Municipality Corporation of LuCi	ty of SARNIA
Spending limit issued by clerk \$ 5-3, 410. 20	
I did not accept any contributions or incur any expenses other than the	he nomination fee. (Complete Box A and B only)
Box B: Declaration	在企业区域的工作,在企业人们的特别工作的发展
1. JAMES JOSEPH CARPEN	, a candidate in the municipality of
financial statements and attached supporting schedules are true and co	, hereby declare that to the best of my knowledge and belief that these rrect.
Declared before (clerk or commissioner) in the	

Tracy Louise Butler, a Commissioner 37 Province of Ontario, for James J. Carpeneto, Barrister and Solicitor. LUXP. Oct 15/17.

Box C: Statement of Campaign Income and Expenses	
LOAN	
Name of bank or recognized lending institution Royal &	ENKOE CANADA
Amount borrowed \$ \mathcal{N}_1 =	
	II.
INCOME	1 C
Total amount of all contributions (From line 1A in Schedule 1)	+ \$
Refund of nomination filing fee	+ \$
Sign deposit refund	+ \$
Revenue from fund-raising events not deemed a contribution (From Part III of Schedule 2)	+ \$
Interest earned by campaign bank account	+ \$
Other (provide full details)	
· · ·	+ \$
1	+ \$
	+ \$
3	= \$ C1
Total Campaign income (Do not include loan)	
EXPENSES (Note: include the value of contributions of goods and services)	
Expenses subject to spending limit	
Nomination filing fee	+_\$
Inventory from previous campaign used in this campaign (list details in Table 5	+ \$
of Schedule 1)	1 c e e e 7 de
Advertising Brochures/flyers	+\$ 0 - 25
	\$ 979.73
Signs (including sign deposit)	1322.10
Meetings hosted	+ \$
Office expenses incurred until voting day	+ \$
Phone and/or Internet expenses incurred until voting day	+_\$
Salaries, benefits, honoraria, professional fees incurred until voting day	+ \$
Bank charges incurred until voting day	+_\$
Interest charged on loan until voting day	+_\$
Other (provide full details)	
1	+ \$
2.	+ \$
3.	+ \$
Total Expenses subject to spending limit	= \$ 3703 GD C2
Expenses not subject to spending limit	, ¢
Accounting and audit	+ <u>\$</u> + <u>\$</u>
Cost of fund-raising events/activities (list details in Part IV of Schedule 2)	7 <u></u>
Voting day party/appreciation notices	+ \$
Office expenses incurred after voting day	+ \$
Phone and/or Internet expenses incurred after voting day	+_\$
Salaries, benefits, honoraria, professional fees incurred after voting day	+
Bank charges incurred after voting day	+_\$
Interest charged on loan after voting day	+_\$
Expenses related to recount	+_\$
Expenses related to controverted election	+_\$
Expenses related to compliance audit	+_\$
Expenses related to candidate's disability (provide full details)	
1	+ \$
2.	+ \$
3.	+ \$
Other (provide full details)	- . :
1,	+ \$
2,	+ \$
2	+ \$
Total Expenses not subject to spending limit	
	$= \frac{\text{c3}}{\text{=}} \frac{\text{3103.90}}{\text{Page 2 of 8}} \frac{\text{c4}}{\text{Page 2 of 8}}$
Total Campaign Expenses (C2 + C3)	$= \frac{\$ \) \ 10 \ 3 \ 10}{\text{C4}} $
9503P (2013/11)	Page 2 of 8

Box D: Calculation of Surplus or Deficit		
Excess (deficiency) of income over expenses (Income – Total Expenses) (C1 – C4)	+ \$	D1
Eligible deficit carried forward by the candidate from the last election	- \$	D2
Total (D1 - D2)	= \$	
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$	
Surplus (or deficit) for the campaign	= \$	D3
If line D3 shows a surplus, the amount must be paid in trust, at the time the firesponsible for the conduct of the election.	nancial statements are fil	led, to the municipal clerk who was
Amount of \$ paid to municipal clerk in the municipal	pality of	

Schedule 1 - Contributions

Part I - Summary of Contributions

Contribution from candidate (include the value of inventory listed in Table 5) Contribution from spouse

+\$ ___

Total value of contributions not exceeding \$100 per contributor

 Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).

+\$ 400.00

Total value of contributions exceeding \$100 per contributor (from line 1B; list details in Tables 1-4)

 Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).

+\$ 850.00

Less: Contributions returned or payable to the contributor
Contributions paid or payable to the clerk, including contributions from

Additional information is listed on separate supplementary attachment

_ ¢

anonymous sources exceeding \$10

= \$ 3103.90 1

Amount \$

Total Amount of Contributions (Record in Box C)

Part II – List of Contributions from Each Single Contributor Totalling more than \$100 Table 1: Monetary contributions from individuals other than candidate or spouse

Full Address

Lyle Curran	114 Front St South SARNIA	15000
John Orr	1270 Pontine (f STENIT	5000
Murcel Beautizen	MARTHAUILLE Rel Emitkillen Twsp.	2000

Name

850

Total

Table 2: Monetary contributions from corporations or unions						
Name (Legal and Carrying on Business As)	Full Address	S	President or Business Manager	Authorized Representative	Amount \$	
				, spressing a		
Additional information is I	isted on sepa	rate supplementar	y attachment	Total		
Table 3: Contributions in god (Note: must also be	ods or servic recorded as	es from individua expenses in Box	als other than candidate	or spouse		
Name		Full Address		Description of Goods or Services	Value \$	
		J				
Additional information is li				Total		

Table 4: Contributions in	goods or services from	corporations or unio			
Name of Corporation (Legal and Carrying on Business As)	Full Address	President or Business Manager	Authorized Representative	Description of Goods or Services	Value \$
	7				
Additional information	is listed on separate supp	lementary attachment	1,	Total	
Total Part II Contribution	ns (Add Totals from Tabl	es 1–4) (Record in Pa	rt I – Summary)		\$ 40
Total Part II Contribution	ns (Add Totals from Tabl	es 1–4) (Record in Pa	rt I – Summary)		\$1B
Part III – Inventory				his Campaign	\$ <u>1</u> B
Part III – Inventory Table 5: Inventory of Ca (Note: value mu	mpaign Goods and Mate	rials from Previous C tribution from the can	ampaign used in t didate and as an e	xpense)	
Part III – Inventory Table 5: Inventory of Ca	mpaign Goods and Mate	rials from Previous C tribution from the can	ampaign used in t	xpense)	\$1B
Part III – Inventory Table 5: Inventory of Ca (Note: value mu	mpaign Goods and Mate ist be recorded as a con	rials from Previous C tribution from the can	ampaign used in t didate and as an e Current Market	xpense)	
Part III – Inventory Table 5: Inventory of Ca (Note: value mu	mpaign Goods and Mate ist be recorded as a con	rials from Previous C tribution from the can	ampaign used in t didate and as an e Current Market	xpense)	
Part III – Inventory Table 5: Inventory of Ca (Note: value mu	mpaign Goods and Mate ist be recorded as a con	rials from Previous C tribution from the can	ampaign used in t didate and as an e Current Market	xpense)	
Part III – Inventory Table 5: Inventory of Ca (Note: value mu	mpaign Goods and Mate ist be recorded as a con	rials from Previous C tribution from the can	ampaign used in t didate and as an e Current Market	xpense)	
Part III – Inventory Table 5: Inventory of Ca (Note: value mu	mpaign Goods and Mate ist be recorded as a con	rials from Previous C tribution from the can	ampaign used in t didate and as an e Current Market	xpense)	
Part III – Inventory Table 5: Inventory of Ca (Note: value mu	mpaign Goods and Mate ist be recorded as a con	rials from Previous C tribution from the can	ampaign used in t didate and as an e Current Market	xpense)	
Part III – Inventory Table 5: Inventory of Ca (Note: value mu	mpaign Goods and Mate ist be recorded as a con	rials from Previous C tribution from the can	ampaign used in t didate and as an e Current Market	xpense)	
Part III – Inventory Table 5: Inventory of Ca (Note: value mu	mpaign Goods and Mate ist be recorded as a con	rials from Previous C tribution from the can	ampaign used in t didate and as an e Current Market	xpense)	
Part III – Inventory Table 5: Inventory of Ca (Note: value mu Description	mpaign Goods and Mate ist be recorded as a con	rials from Previous C tribution from the can Supplier	ampaign used in ti didate and as an e Current Market Value \$	xpense)	

Schedule 2 – Fundraising Events and Activities		
Fundraising Event/Activity Complete a separate schedule for each event or activity held		
Additional schedule(s) attached		
Description of fundraising event/activity		
Date of event/activity (yyyy/mm/dd)		
Part I – Ticket Revenue		
Admission charge (per person) (If there are a range of ticket prices, attach complete breakdown of all ticket sales)	+ \$	24
Number of tickets sold	+ \$ x	2A
Total Ticket Revenue (2A X 2B) (Include in Schedule 1)	*	2B = \$
		,
Part II – Other revenue deemed a contribution		
(provide details (e.g. revenue from goods sold in excess of fair market value))		
	+ \$	
1		
2	+ \$	
3	+ \$	
4	- + 3	
5	+ \$	
Total Part II Revenue (include in Schedule 1)		= \$
Part III – Other revenue not deemed a contribution		
(provide details (e.g. contributions of \$10 or less; market value of goods or service	ae eold))	
1^	_ + \$	
2	_ + \$	
3.	+ \$ + \$ + \$	
4	+ \$	
5.	+ \$	
Total Part III Revenue (include in Box C)		= \$
		-
Part IV – Expenses related to fundraising event or activity (provide details)		
1,	+ \$	
2	+ \$	=
3	+ \$	
4	+ \$	
5.	+ \$	
5	+ \$	
6	+ \$	
7		
8.	+ _\$	
Total Part IV Expenses (include in Box C)		= \$

Auditor's Report Municipal Elections	Act, 1996 (Section	on 78)		
A candidate who has	received contribution	ons or incurred expens	es in excess of \$10,000 must attach a	n auditor's report.
Professional Designat	tion of Auditor			
Municipality			· ·	Date (yyyy/mm/dd)
Contact Information				
Last Name		First Name	Licence Number	
Address				-
Suite/Unit No.	Street No.	Street Name		
City/Town	l		Province	Postal Code
Telephone No. (includ	ling area code) ext.	Fax No.	Email Address	
The report must be do	one in accordance	with generally accepted	d auditing standards and must:	
 set out the s 	cope of the examir	ation		
 provide an o misstatemer 	pinion as to the co	mpleteness and accura	acy of the financial statement and whe	ther it is free of material
Report is attached				

Personal information, if any, collected on this form is obtained under the authority of sections 78 and 95 of the *Municipal Elections Act*, 1996. Under section 88 of the *Municipal Elections Act*, 1996 (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act*, 1996 are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.